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Attorney's Docket No. 9409-3

<u>PATENT</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re-Robert P. Freese et al.

RADIA polication No.: 10/661,917

Filed: Sentember 11, 2003

Confirmation No.: 8346 Group Art Unit: 1756 Examiner: Kathleen Duda

Filed: September 11, 2003 For: SYSTEMS AND M

SYSTEMS AND METHODS FOR MASTERING MICROSTRUCTURES THROUGH A

SUBSTRATE USING NEGATIVE PHOTORESIST AND MICROSTRUCTURE

MASTERS SO PRODUCED

April 8, 2005

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Fourth Supplemental Information Disclosure Statement (37 CFR 1.97)

Sir:

Attached is a Fourth Supplemental Form PTO-1449 listing documents cited in an "Invitation to Pay Additional Fees" ("Invitation") for International Application Number PCT/US2004/027071. Each document listed on the attached PTO-1449 was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement. A copy of any listed foreign patent document and/or non-patent literature, including the Invitation, is enclosed. A copy of any listed U.S. patent and/or U.S. patent application publication is not provided herewith in accordance with the amendment by the U.S. Patent and Trademark Office of requirements under 37 C.F.R. § 1.98(a)(2)(ii) effective October 21, 2004. It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. § 1.56 and Section 609 of the MPEP.

No fee is believed due; however, the Commissioner is hereby authorized to charge any deficiency or credit any refund to Deposit Account No. 50-0220.

Respectfully submitted.

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on April 8, 2005.

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Susan E. Freedman

Date of Signature: April 8, 2005

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Substitute form 1449A/PTO	
/ %	Application Number
INFORMATION DISCLOSURE 1 2005	Filing Date
STATEMENT BY APPLICANT 1 2005	First Named Inven
	Group Art Unit
(use as many sheets as necessary)	Examiner Name
Sheet 1 of	Attorney Docket N

С	omplete if Known	
Application Number	10/661,917	
Filing Date	09/11/2003	
First Named Inventor	Robert P. Freese	
Group Art Unit	1756	
Examiner Name	Kathleen Duda	
Attorney Docket Number	9409-3	

U.S. PATENTS AND PATENT PUBLICATIONS					
Examiner (Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document
		Number	Kind Code (if known)	Document	MM-DD-YYYY
	1.	US-6,400,390	B1	Umeda et al.	06/04/2002
	2.	US-4,071,367		Collier et al.	01/31/1978
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				FOREIGN P	ATENT DOCUMENTS		
Examiner Cite	Foreign Patent Document			Name of Patentee or Applicant of Cited	Date of Publication of Cited Document MM-DD-YYYY	Translation	
Initials*	tials* No. Office Number Kind Code (if known)	— Document					
	3.	EP	0 530 674	A1	Canon Kabushiki Kaisha	03/10/1993	
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		OTHER NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T
	4.	Invitation to Pay Additional Fees, PCT/US2004/027071, 03/31/2005	
			
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Examiner Signature	Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.